

HAND
DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A

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For use by Members, officers, and employees

Barbara Lee

(202) 225-2661

(Full Name)

(Daytime Telephone)

Filer Status ☒ Member of the U.S. House of Representatives

State: CA District: 9

☐ Officer Or Employee

Employing Office:

Report Type ☐ Annual (May 15)

☒ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

LEGISLATIVE RESOURCE CENTER

NOVEMBER 14 PM 3:12

(Office Use Only)

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

| | | | |
|---|---|--|---|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$305 and not otherwise exempt)? If yes, complete and attach Schedule VI. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$305 from one source)? If yes, complete and attach Schedule VII. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$1,000 in the reporting period or hold any reportable asset worth if yes, complete and attach Schedule III. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response. | |

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

| | |
|---|---|
| Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

SCHEDULE I - EARNED INCOME

Name Barbara Lee

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

| Source | Type | Amount |
|----------------------------|--|---------|
| Mills College, Oakland, CA | Approved Teaching Fee (Filer returned a portion of the fee.) | \$6,000 |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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| BLOCK A Asset and/or Income Source <small>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</small> | BLOCK B Year-End Value of Asset <small>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</small> | BLOCK C Type of Income <small>Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)</small> | BLOCK D Amount of Income <small>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as Income. Check "None" if no income was earned.</small> | BLOCK E Transaction <small>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</small> |
|---|--|---|---|--|
| Allianz Small Cap Value Fund | \$1,001 - \$15,000 | DIVIDENDS (reinvested) | \$1 - \$200 | |
| California State Municipal Bonds (held in Merrill Lynch Cash Management Account) | None | INTEREST/Other: Gain from Sale | \$5,001 - \$15,000 | |
| Charles Schwab Money Market Account | \$1 - \$1,000 | INTEREST | \$1 - \$200 | |
| Delaware Trend Fund | \$1,001 - \$15,000 | DIVIDENDS/CAPITAL GAINS (reinvested) | \$201 - \$1,000 | |
| East West Bank Checking Account, Oakland, CA | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 | / |
| Mass. Investors Growth Fund | \$1,001 - \$15,000 | None | NONE | |

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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| | | | | |
|---|---------------------|----------------------------|--------------------|----|
| Merrill Lynch Cash Mangement Account | \$1 - \$1,000 | INTEREST | \$1 - \$200 | |
| Savings Plus Socially Responsible Fund (held in State of CA Savings Plus Deferred Compensation Program) | \$15,001 - \$50,000 | None | NONE | |
| SPP Int'l Mg Fund (held in State of CA Savings Plus Deferred Compensation Program) | \$15,001 - \$50,000 | None | NONE | |
| SPP Mid Cap Mg Fund-Growth (held in State of CA Savings Plus Deferred Compensation Program) | \$1,001 - \$15,000 | None | NONE | |
| US Treasury Bills | None | Sale Proceeds | \$5,001 - \$15,000 | PS |
| Van Kamp Growth Fund | \$1,001 - \$15,000 | DIVIDENDS/CAPITAL GAINS | \$201 - \$1,000 | |
| Vanguard Total Bond Market Index Fund (held in State of CA Savings Plus Deferred Compensation Program) | \$15,001 - \$50,000 | Interest/Gain (Reinvested) | \$1,001 - \$2,500 | |
| Wells Fargo Bank Checking Account, Sun City, AZ (held jointly with mother) | \$1,001 - \$15,000 | INTEREST | \$1 - \$200 | |
| Xerox Corporation Stock | \$15,001 - \$50,000 | DIVIDENDS | \$201 - \$1,000 | |